

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plication of:

Robert SCHWEITZER

et al.

Serial No.:

10/812.233

Examiner:

Unassigned

I, SAMUEL W. APICELLI, REGISTRATION 6,427. HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. Box 1450,

CERTIFICATE UNDER 37 CFR 1.8(a)

22313-1450

Filed:

29 March 2004

Group Art Unit:

2857

For:

METHOD FOR IDENTIFYING COMPONENTS OF A

MIXTURE VIA SPECTRAL ANALYSIS

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find enclosed for filing:

 \boxtimes Information Disclosure Statement, PTO-1449 and references.

 \boxtimes Should any fees be incurred please debit Deposit Account No. 04-1679.

This Transmittal Letter is submitted in duplicate.

 \boxtimes Return Postcard

Respectfully submitted,

Samuel W. Apicelli

Registration No. 36,427 Customer No. 41396

DUANE MORRIS LLP

305 North Front Street, P.O. Box 1003

Harrisburg, PA 17108-1003

(717) 237-5516

SWA/tmf

Docket No.: E2079-00007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re: Application of:

Robert SCHWEITZER

et al.

Serial No.:

10/812,233

Examiner:

Unassigned

I, SAMUEL W. APICELLI, REGISTRATION NO. 36,427, HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS

CERTIFICATE UNDER 37 CFR 1.8(a)

MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. Box 1450,

Filed:

29 March 2004

Group Art Unit:

2857

For:

METHOD FOR IDENTIFYING COMPONENTS OF A

MIXTURE VIA SPECTRAL ANALYSIS

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.56, the materials listed on the enclosed form PTO-1449 are hereby brought to the attention of the Examiner. A copy of each is enclosed.

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicants.

The claimed invention is patentable over the enclosed references. No representations are made regarding the references other than those set forth above. Serial No. 10/812,233

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,

Date: 9/13/04

Samuel W. Apicelli Registration No. 36,427 Customer No. 41396 DUANE MORRIS LLP

305 North Front Street, P.O. Box 1003

Harrisburg, PA 17108-1003

(717) 237-5516

SWA/tmf

Docket No. E2079-00007

Sheet	_1_	of	2
-------	-----	----	---

Form PTO-1449 U.S. Department of		Docket No. E2079-00007				Serial No. 10/812,233				
Commerce Patent and Trademark Office INFORMATION DISCLOSURE		Applicants Debot CCI NA/FITZED at al								
STATEMENT IN AN APPLICATION SEP 1 6 2004		잃	App	Applicant: Robert SCHWEITZER et al.						
IN AN APPLICATION (SEP 1 6 2004 6			— …	D 1 00		204		A 111-21	0057	
(Use severa	I sheets if negess	ary) 🐉	Hilin	ng Date: 29 m	arch 2	004	Grou	p Art Unit	2857	
(Use several sheets if necessary)										
U.S. PATEN	IT DOCUMENTS									
*EXAMINER	DOCUMENT	DATE	-	NAME		CLASS		LIDCLASS	FILING (
INITIAL	4,660,151	DATE April 1987		NAME Chipman et al.		CLASS S		UBCLASS	IF APPROPRIATE	
	4,701,838	Oct. 1987		Swinkels et al.						
	4,766,551	Aug. 1988		Begley						
	4,885,697	Dec. 1989		Huber						
	5,121,337	June 1992		Brown						#
	5,121,338	June 1992		Lodder						
									TRANSLATION	
	DOCUMENT	1								
	NUMBER	DATE	- '	COUNTRY		S	SUBCLASS		YES	NO
			+				-			
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)										
Mário R. Caetano et al.; Evaluation of the Importance of Non-Linear Spectral Mixing in Coniferous Forests; September 1998; SPIE Vol. 3499; pp 257 – 269										
	Remote Sensing for Agriculture, Ecosystems, and Hydrology; September 22 – 24, 1998; SPIE Vol. 3499									
	G. T. Rasmussen et al.; Library Retrieval of Infrared Spectra Based on Detailed Intensity Information; November 4, 1979; Applied Spectroscopy; Vol. 33, pp. 371 – 376									
		Jean Guilment et al.; Infrared Chemical Micro-Imaging Assisted by Interactive Self-Modeling Multivariate Analysis; November 3, 1994; Applied Spectroscopy; Vol. 48, pp. 320 – 326								
	Edmund R.	Edmund R. Malinowski; Factor Analysis In Chemistry; 1991; second edition; published by John Wiley & Sons, Inc.								
	William H. F originally pu	William H. Press et al.; Numerical Recipes in C, The Art of Scientific Computing; Second Edition; originally published 1992 – latest publication date 2002; published by Press Syndicate of the University of Cambridge								
						1 = : =				
EXAMINER DATE CONSIDERED										
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.										

Chast	2	~£	2
Sheet		of	

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT		Docket No. E2079		79-00007		Serial No. 10/812,233		,233				
		Applicant: Robert SCHWEITZER					ER e	et al.				
IN AN APPLICATION (Use several sheets if necessary)			Filing Date: 29		29 n	march 2004		Group Art Unit 2857				
U.S. PATE	NT	DOCUMENTS							'			
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME			CLASS	3	SUBCLASS	FILING IF APPI	DATE ROPRIATE
	Ц	5,124,932	June 1992		Lodder					-		<u> </u>
	Ц	5,311,445	May 1994		White						ļ	
	Н	5,481,476	Jan. 1996		Windig	. 1		ļ	_			
<u> </u>	Н	5,606,164 5,610,836	Feb. 1997 March 1997		Price et a							-
	\vdash	5,710,713	Jan. 1998		Wright et				-+			
	Н	5,822,219	Oct. 1998		Chen et a							
	\vdash	6,549,861	April 2003		Mark et a						<u> </u>	
	Н	6,584,413	June 2003		Keenan et al.						<u> </u>	
	П											
	Ц											<u>.</u> .
	Ш			····	·				_		ļ	
	Ц											
		DOCUMENT	DOCUMENT				CLASS		SUBCLASS		TRANS	SLATION
		NUMBER	DATE	COUNTRY		YES					NO	
			,									├-
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)												
							·					
								· · · · · · · · · · · · · · · · · · ·				
								- ,				
		-						· · · · · · · · · · · · · · · · · · ·				<u></u>
				-								·
EXAMINER			·			-		DATI	E CO	NSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.												